

Counterexamples in Algebraic Geometry

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Contents

1	Sheaf surjectivity does not imply surjectivity on global sections	2
2	A map of ringed spaces that is not a morphism of locally ringed spaces	2
3	Non-affine schemes obtained by gluing	4
3.1	The affine line with doubled origin	4
3.2	The projective line	5

Abstract

This note collects some fundamental examples that illustrate subtle points in scheme theory and sheaf theory. Each example is presented in detail with the necessary background.

1 Sheaf surjectivity does not imply surjectivity on global sections

Let X be a topological space and let $\mathcal{F} \rightarrow \mathcal{G}$ be a surjective morphism of sheaves of abelian groups (or \mathcal{O}_X -modules). Surjectivity means that for every open $U \subseteq X$ and every section $s \in \mathcal{G}(U)$ there exists an open covering $U = \bigcup_i U_i$ and sections $t_i \in \mathcal{F}(U_i)$ such that $t_i \mapsto s|_{U_i}$. It does *not* guarantee that a global section $s \in \mathcal{G}(X)$ lifts to a global section of \mathcal{F} .

Example 1.1 (Exponential sequence). *Let $X = \mathbb{C}^\times = \mathbb{C} \setminus \{0\}$ with the usual complex topology, and let \mathcal{O}_X be the sheaf of holomorphic functions, \mathcal{O}_X^\times the sheaf of nowhere vanishing holomorphic functions (both as sheaves of abelian groups under addition and multiplication respectively). The exponential map*

$$\exp : \mathcal{O}_X \longrightarrow \mathcal{O}_X^\times, \quad f \mapsto e^{2\pi i f}$$

is a surjective morphism of sheaves: locally every nonvanishing holomorphic function admits a holomorphic logarithm. However, the induced map on global sections

$$\exp : \mathcal{O}_X(X) \longrightarrow \mathcal{O}_X^\times(X)$$

is not surjective. The identity function $z \in \mathcal{O}_X^\times(X)$ has no global logarithm on \mathbb{C}^\times . Indeed, if $\log z$ were a holomorphic function on \mathbb{C}^\times , its derivative would be $1/z$, whose integral around the unit circle gives $2\pi i \neq 0$, contradicting the existence of a primitive.

This example is the starting point for sheaf cohomology: the cokernel of \exp on global sections is precisely $H^1(X, \mathbb{Z})$.

Remark 1.1. *In the algebraic category the same phenomenon occurs: on $X = \text{Spec } k[t, t^{-1}]$, the Kummer sequence $0 \rightarrow \mu_n \rightarrow \mathbb{G}_m \xrightarrow{(\cdot)^n} \mathbb{G}_m \rightarrow 1$ is exact as a sequence of sheaves for the étale topology, but the map on global sections $k^\times \rightarrow k^\times$ may fail to be surjective if k does not contain enough roots of unity.*

2 A map of ringed spaces that is not a morphism of locally ringed spaces

A morphism of schemes is by definition a morphism of locally ringed spaces, i.e. a pair $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$ where $f : X \rightarrow Y$ is continuous and $f^\# : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ is a map of sheaves of rings such that for every

$x \in X$ the induced homomorphism on stalks $f_x^\# : \mathcal{O}_{Y,f(x)} \rightarrow \mathcal{O}_{X,x}$ is a *local* homomorphism (it sends the maximal ideal into the maximal ideal). The next example shows a map of ringed spaces that satisfies all conditions except locality on stalks.

Example 2.1 (Spectrum of a discrete valuation ring). *Let R be a discrete valuation ring with maximal ideal \mathfrak{m} and fraction field K . Its spectrum $S = \text{Spec } R$ consists of two points: the closed point $s = \mathfrak{m}$ and the generic point $\eta = (0)$. The scheme $T = \text{Spec } K$ consists of a single point t . The canonical inclusion $R \hookrightarrow K$ induces a morphism of schemes*

$$\varphi : T \longrightarrow S, \quad t \longmapsto \eta,$$

which is a morphism of locally ringed spaces (the stalk at t is K , the stalk at η is also K , and the map is the identity).

Now consider the set-theoretic map

$$\psi : T \longrightarrow S, \quad t \longmapsto s.$$

We can equip ψ with a morphism of sheaves of rings: since $\mathcal{O}_T(T) = K$ and $\psi_*\mathcal{O}_T(T) = \mathcal{O}_T(\psi^{-1}(T)) = \mathcal{O}_T(t) = K$, we define $\psi^\#$ on the global sections as the composition

$$\mathcal{O}_S(S) = R \hookrightarrow K \xrightarrow{\text{id}} K = (\psi_*\mathcal{O}_T)(S).$$

This defines a morphism of sheaves because S has a basis of open sets $\{S, \{\eta\}\}$ and one checks compatibility. However, the induced map on stalks at t is

$$\psi_t^\# : \mathcal{O}_{S,s} = R_{\mathfrak{m}} \longrightarrow \mathcal{O}_{T,t} = K,$$

which is again the inclusion $R \hookrightarrow K$. This map is not local: the maximal ideal $\mathfrak{m}R_{\mathfrak{m}}$ of $R_{\mathfrak{m}}$ is sent to a non-unit in K (in fact to 0 if we consider the residue field, but K is a field so the only maximal ideal is (0)). Hence $(\psi, \psi^\#)$ is a map of ringed spaces but not a morphism of locally ringed spaces.

Remark 2.1. *This example underscores why the “local homomorphism” condition is essential in the definition of a scheme morphism: it forces the continuous map to send a point x to the unique point y whose local ring maps to the local ring of x in a local way, which for affine schemes corresponds exactly to the contraction of prime ideals along a ring homomorphism.*

3 Non-affine schemes obtained by gluing

A scheme is called *affine* if it is isomorphic to the spectrum of a commutative ring. Gluing affine schemes along open subsets often produces schemes that are no longer affine. Two classical examples follow.

3.1 The affine line with doubled origin

Let k be a field. Consider two copies of the affine line:

$$X_1 = \text{Spec } k[x], \quad X_2 = \text{Spec } k[y].$$

Let $U_1 = X_1 \setminus \{(x)\} = D(x) = \text{Spec } k[x]_x = \text{Spec } k[x, x^{-1}]^1$. Similarly, $U_2 = X_2 \setminus \{(y)\} = \text{Spec } k[y, y^{-1}]$. We can glue X_1 and X_2 along U_1 and U_2 via the identity isomorphism or the inverse one. We first try the identity gluing,

$$\phi : U_1 \xrightarrow{\sim} U_2, \quad x \longmapsto y.$$

The resulting scheme X is called the *affine line with doubled origin*. Its underlying topological space is the affine line with two copies of the origin, each having its own local ring $\mathcal{O}_{X,0_i} \cong k[x]_{(x)}$.

Example 3.1 (Non-affineness of the doubled origin line). *The scheme X is not affine. One way to see this is to compute its ring of global sections. The structure sheaf satisfies*

$$\mathcal{O}_X(X) = \ker(\mathcal{O}_{X_1}(X_1) \times \mathcal{O}_{X_2}(X_2) \rightrightarrows \mathcal{O}_{U_1}(U_1)),$$

where the two arrows are restriction and restriction composed with ϕ , \cong kernel of sending (f, g) to $(f - g)$. Explicitly, as in Example 2.3.5 in Hartshorne,

$$\mathcal{O}_X(X) = \{(f(x), g(y)) \in k[x] \times k[y] \mid f(x) = g(x) \text{ in } k[x, x^{-1}]\}.$$

Since polynomials are determined by their restriction to the punctured line², this forces $f = g$ as elements of $k[x]$. Hence $\mathcal{O}_X(X) \cong k[x]$. If X were affine, by Proposition 2.2 in Hartshorne³, it would be isomorphic to $\text{Spec } k[x]$, but the latter has a single origin $\{(x)\}$, while X has two distinct points $\{(x), (y)\}$ whose local rings are isomorphic to $k[x]_{(x)}$ or $k[y]_{(y)}$. Contradiction.

¹Here $D(x)$ is exactly the image of $\text{Spec } k[x] \rightarrow \text{Spec } k[x]$ induced by $i : k[x] \rightarrow k[x]_x$.

²Remark that $k[x] \rightarrow k[x]_x$ is injective.

³ $\mathcal{O}(D(f)) \cong A_f$, in particular $\Gamma(\text{Spec } A, \mathcal{O}) \cong A$ when $f = 1$.

3.2 The projective line

Using the same notation, glue X_1 and X_2 via the isomorphism

$$\psi : U_1 \xrightarrow{\sim} U_2, \quad x \mapsto y^{-1}.$$

The resulting scheme is by definition the projective line \mathbb{P}_k^1 . It is covered by two affine charts $D_+(x_0) = \{[x_0 : x_1] \mid x_0 \neq 0\} \cong \{\frac{x_1}{x_0} \in k\} \cong \mathbb{A}_k^1 \cong \text{Spec } k[x]$ and $D_+(x_1) = \{[x_0 : x_1] \mid x_1 \neq 0\} \cong \{\frac{x_0}{x_1} \in k\} \cong \mathbb{A}_k^1 \cong \text{Spec } k[y]$ which play the roles of X_1, X_2 with $\psi : x = \frac{x_1}{x_0} \mapsto (\frac{x_0}{x_1})^{-1} = y^{-1}$ in our setup.

Example 3.2 (\mathbb{P}_k^1 is not affine). *Although \mathbb{P}_k^1 is separated (in fact a variety), it is not affine. Its ring of global sections is*

$$\mathcal{O}_{\mathbb{P}^1}(\mathbb{P}^1) = \ker(k[x] \times k[y] \rightrightarrows k[x, x^{-1}]),$$

where the arrows send $f(x)$ to $f(x)$ and $g(y)$ to $g(x^{-1})$. A pair $(f(x), g(y))$ lies in the kernel iff $f(x) = g(x^{-1})$ as elements of $k[x, x^{-1}]$. Expanding $g(y) = \sum_{i=0}^m a_i y^i$, we get $g(x^{-1}) = \sum a_i x^{-i}$, which can equal a polynomial $f(x)$ only if all $a_i = 0$ for $i > 0$ and $a_0 = f(0)$. Thus $\mathcal{O}_{\mathbb{P}^1}(\mathbb{P}^1) \cong k$. If \mathbb{P}^1 were affine, it would be isomorphic to $\text{Spec } k$, a single point, which is absurd.

Remark 3.1. *Both examples illustrate that gluing affine schemes can produce non-affine schemes. The doubled origin line fails to be separated (the two distinct origins cannot be separated by disjoint open sets), while \mathbb{P}^1 is separated but not affine. Together they show that the category of schemes is strictly larger than the category of affine schemes.*

Further reading

These examples are standard in introductory texts on algebraic geometry:

- R. Hartshorne, *Algebraic Geometry*, Chapter II.